

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	Techpro #1-2
First Named Inventor	SCHAFER, HAROLD L.
<b>COMPLETE IF KNOWN</b>	
Application Number	To be asgd.
Filing Date	November 2001
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

UPSTREAM SEAL FOR MIXER ROTORS.

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
None			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address below

Name ARTHUR R. EGLINGTON, ESQ.

Address 113 CROSS CREEK DRIVE R..D. # 5

City Pottsville, State Penna. ZIP 17901

Country United States Telephone 570-385-5021 Fax 385-2532

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Harold L.

Family Name or Surname Schafer

Inventor's Signature

*Harold L. Schafer*

Date

15 Nov. 2001

Residence: City Lehigh

State Penna.

Country USA

Citizenship USA

Mailing Address 342 S. Second Street, Lehigh, Pa.

City Lehigh

State Penna

ZIP 18235

Country USA.

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Kelly A.

Family Name or Surname Ziegenfus

Inventor's Signature

*Kelly A. Ziegenfus*

Date

15 Nov. 2001

Residence: City Jim Thorpe

State Penna.

Country USA

Citizenship USA

Mailing Address 715 Center Avenue

City Jim Thorpe

State Penna.

ZIP 18229

Country USA

☒ Additional inventors are being named on the 1st supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → +

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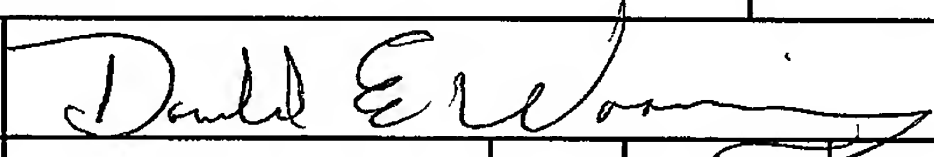
PTO/SB/02A (3-97)  
Approved for use through 9/30/98 OMB 0651-0032  
Patent and Trademark Office, U S DEPARTMENT OF COMMERCE



## DECLARATION

## ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])				Family Name or Surname				
Donald E.				WOODRING				
Inventor's Signature					Date		15 Nov 2001	
Residence: City		Tamaqua	State	Penna.	Country	USA	Citizenship	USA
Post Office Address		RR #2 , Box 12B						
Post Office Address								
City		Tamaqua	State	Penna.	ZIP	18252	Country	USA.
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])				Family Name or Surname				
Inventor's Signature					Date			
Residence: City			State		Country		Citizenship	
Post Office Address								
Post Office Address								
City			State		ZIP		Country	
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])				Family Name or Surname				
Inventor's Signature					Date			
Residence: City			State		Country		Citizenship	
Post Office Address								
Post Office Address								
City			State		ZIP		Country	

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PTO/SB/81 (02-01)

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	to be asgd.
Filing Date	November 2001
First Named Inventor	Schafer, Harold L.
Title	UPSTREAM SEAL ETC.
Group Art Unit	
Examiner Name	
Attorney Docket Number	

I hereby appoint:

☐ Practitioners at Customer Number

OR

☒ Practitioner(s) named below:

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Name	Registration Number
Arthur R. Eglinton, Esq.	19,868

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☐ Practitioners at Customer Number

OR

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Number Bar Code  
Label here

☒ Firm or  
Individual Name

Law Office of A. R. Eglinton

Address

113 Cross Creek Drive R. D. # 5

Address

City

Pottsville

State

Penna.

Zip

17901

Country

United States

Telephone

570-385-5021

Fax

385-2532

I am the:

☒ Applicant/Inventor. (co-applicant)

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Kelly A. Ziegenfus

Signature

*Kelly A. Ziegenfus*

Date

November 15, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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First Named Inventor	SCHAFER, HAROLD L.
Title	UPSTREAM SEAL
Group Art Unit	
Examiner Name	
Attorney Docket Number	

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☐ Practitioners at Customer Number

OR

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<input checked="" type="checkbox"/> Firm or Individual Name	Law Office of A. R. Eglinton				
Address	113 Cross Creek Dr R.D. # 5				
Address					
City	Pottsville,	State	Penna.	Zip	17901
Country	United States				
Telephone	570-385-5021	Fax	385-2532		

I am the:

☒ Applicant/Inventor. (co-applicant)

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	Harold L. Schafer
Signature	<i>Harold L. Schafer</i>
Date	November 15, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of 3 forms are submitted.

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Application Number	Techpro # 1.2
Filing Date	November 2001
First Named Inventor	SCHAFER, HAROLD L.
Title	UPSTREAM SEAL etc.
Group Art Unit	
Examiner Name	
Attorney Docket Number	

I hereby appoint:

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☒ Practitioner(s) named below:

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Name	Registration Number
Arthur R. Eglinton, Esq.	19,868

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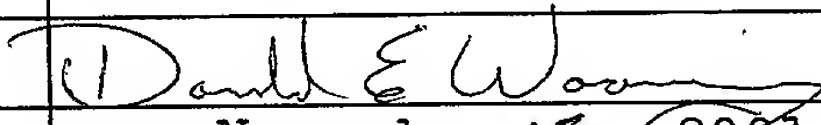
<input checked="" type="checkbox"/> Firm or Individual Name	Law Office of A. R. Eglinton				
Address	113 Cross Creek Dr R.D. # 5				
Address					
City	Pottsville,	State	Penna.	Zip	17901
Country	United States				
Telephone	570-385-5021	Fax	385-2532		

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☒ Applicant/Inventor. (co-applicant)

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	Donald E. Woodring
Signature	
Date	November 15 2001

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